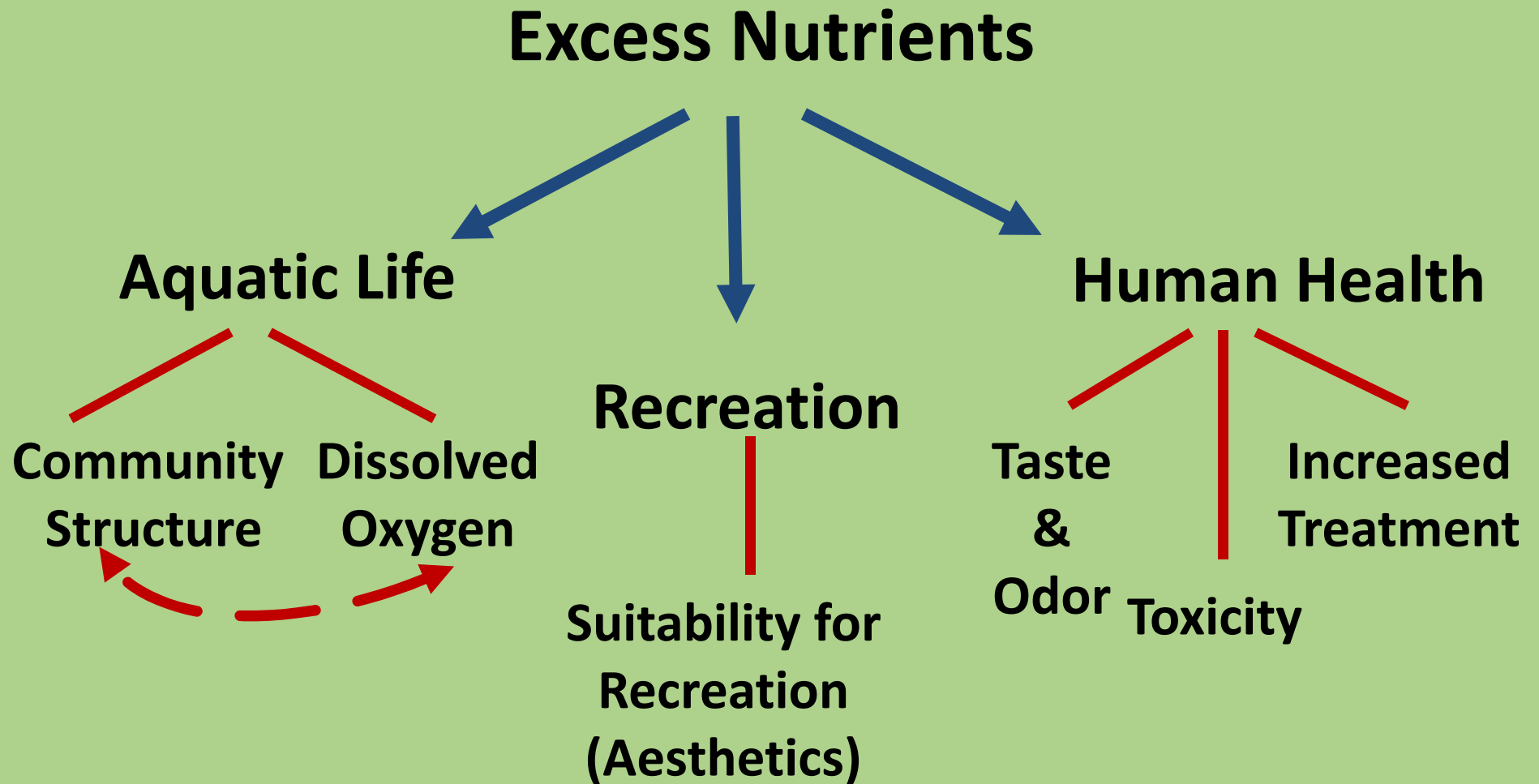


# Development of Eutrophication Criteria for Lakes

Shivi Selvaratnam, Ph.D.  
External Workgroup Meeting  
December 12, 2011

- Impacts of Excess Nutrients
- Introduction to Lakes
- Monitoring of Indiana Lakes
- Approaches to Developing Nutrient Criteria
- Data Used in Analysis
- Data Analysis Strategy
- Results
- Proposed Criteria

# Impacts of Excess Nutrients

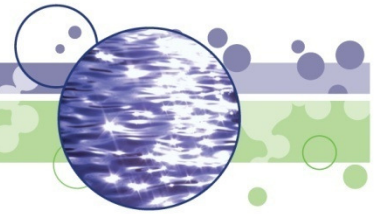


- Designated uses of Indiana's surface waters:
  - Recreation in and on the water
  - Maintenance of a well-balanced, warm water aquatic community
- Criteria must provide protection of designated uses



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Water



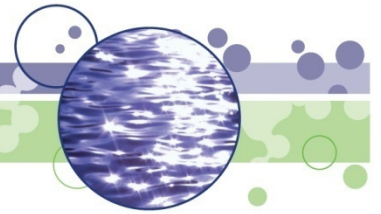
# Characteristics of Lakes

- Standing bodies of water
- Bottom of watershed – receiving waters
- Physical consequences of standing water
  - Retention time – much more sensitive to nutrients, organic pollution
  - Water retained for days/months/years
  - Stratification – limited atmospheric exchange
  - Sedimentation
- Most organisms suspended in water column



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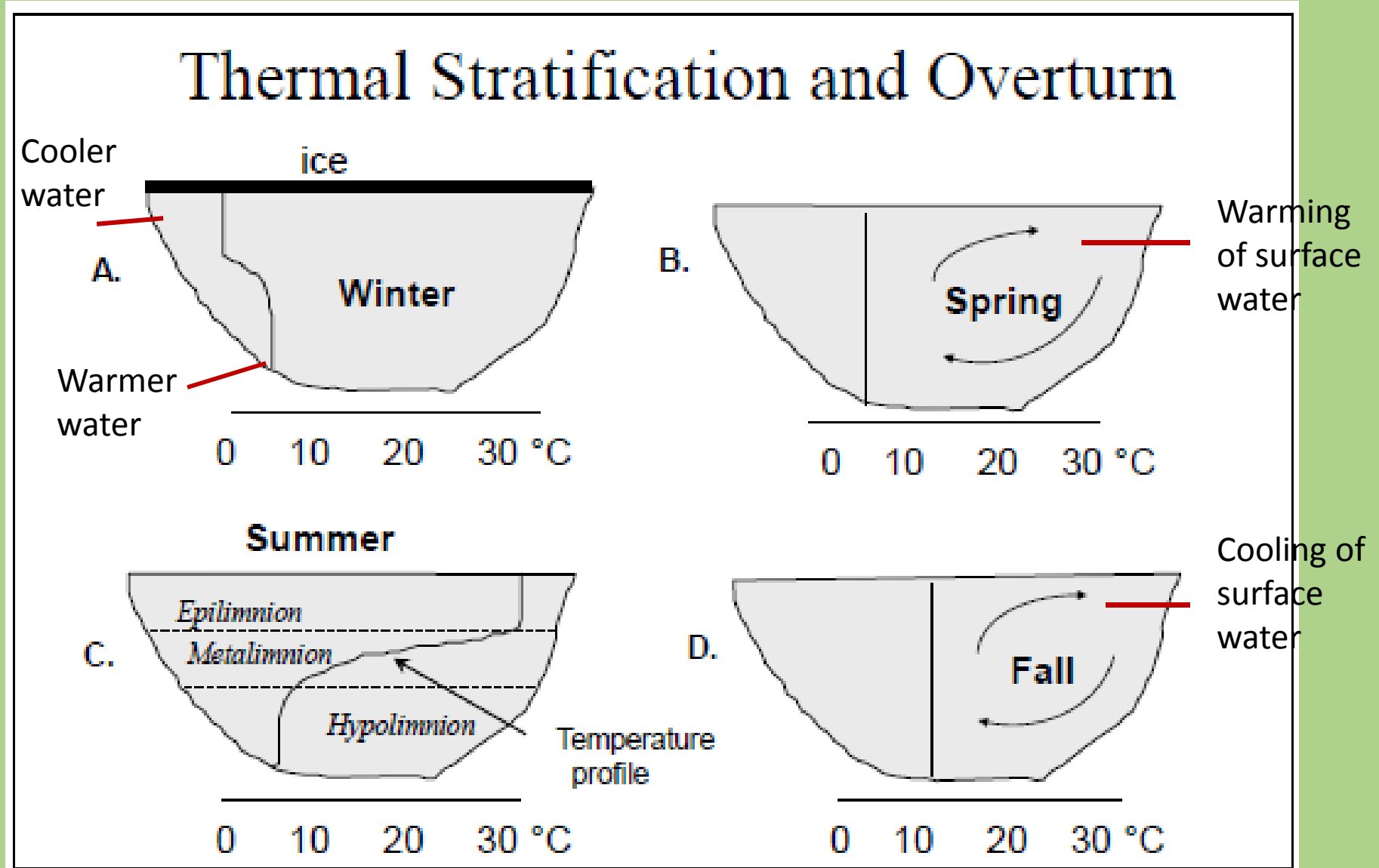
Water



# Lake Dynamics

- Lakes begin life with clear, nutrient-poor waters
- Photosynthesis proceeds at limited rate
- Respiration occurs to partially decompose plant material and consume  $O_2$  in the deep part of the lake
- Biological activity increases over a lake's "lifetime" until it gets choked with organic matter and fills-in with sediment
  - Eutrophication

# How Lakes Change With the Seasons



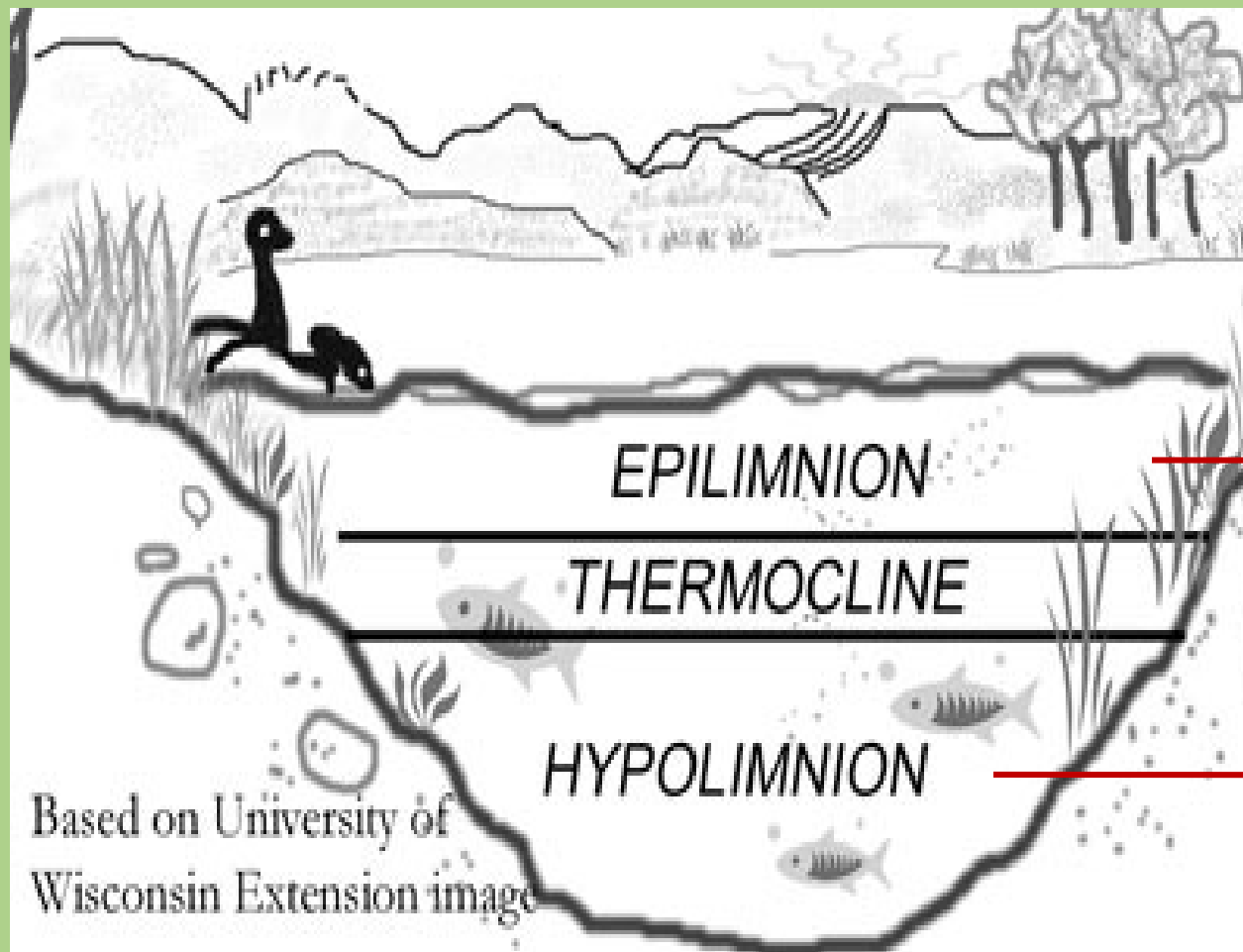


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# Lake Stratification



warmer water  
zone of  
productivity

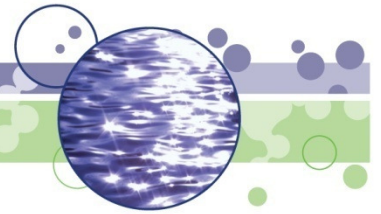
cooler water  
zone of  
decomposition





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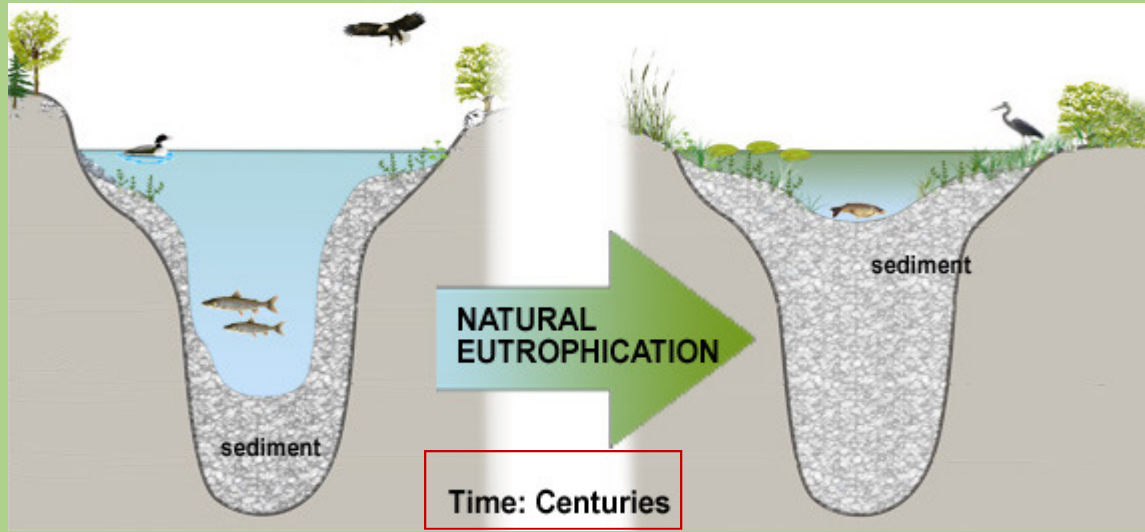
Water



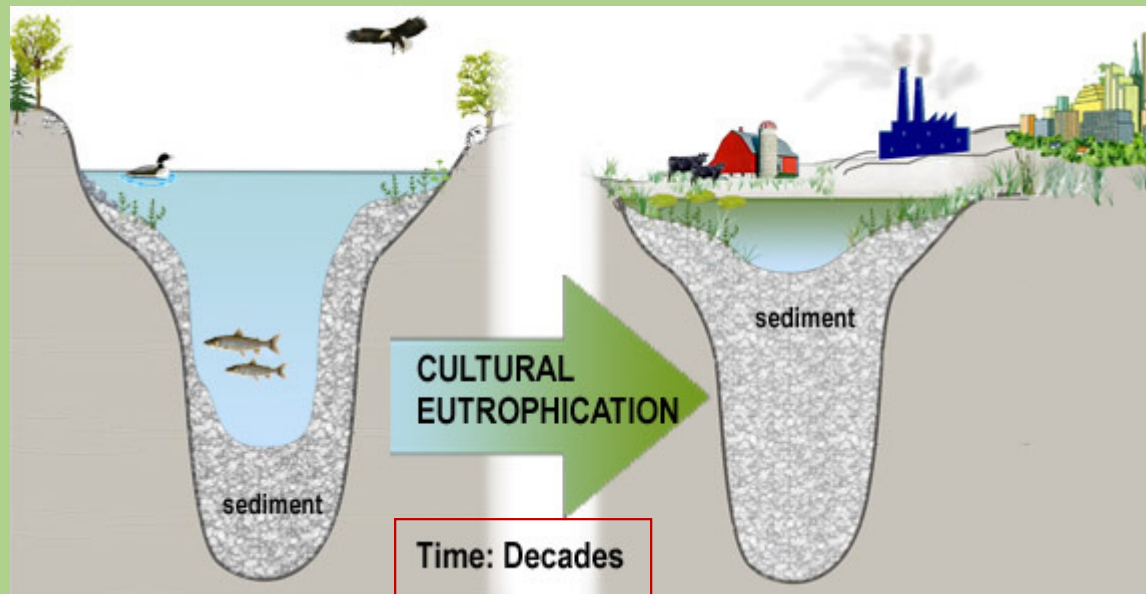
# Lake Stresses

- Cultural eutrophication (nutrients)
- Physical
- Acidification
- Toxic contamination
- Exotic species

# Natural Versus Cultural Eutrophication

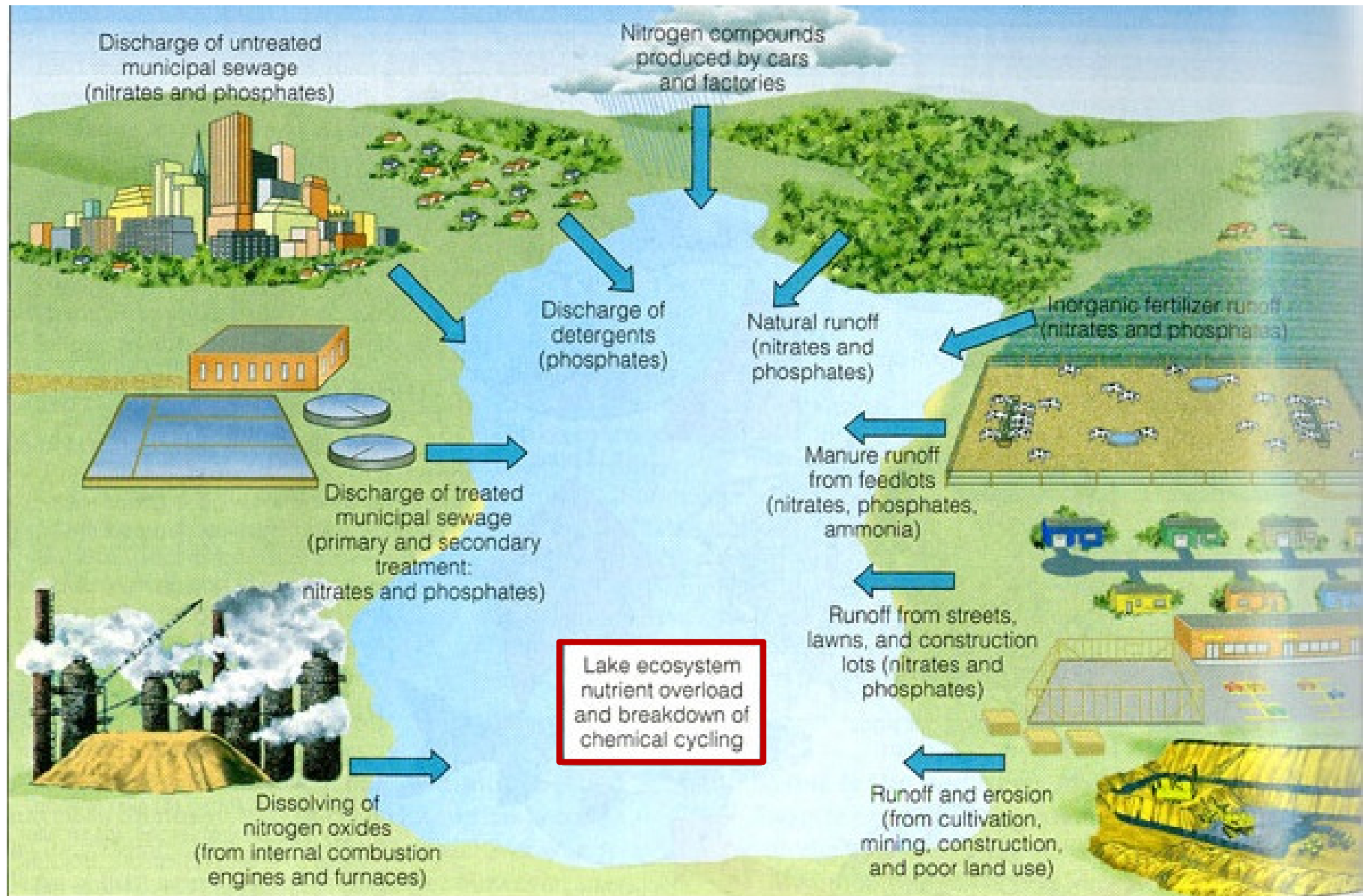


Fill with sediments  
due to natural  
erosion processes

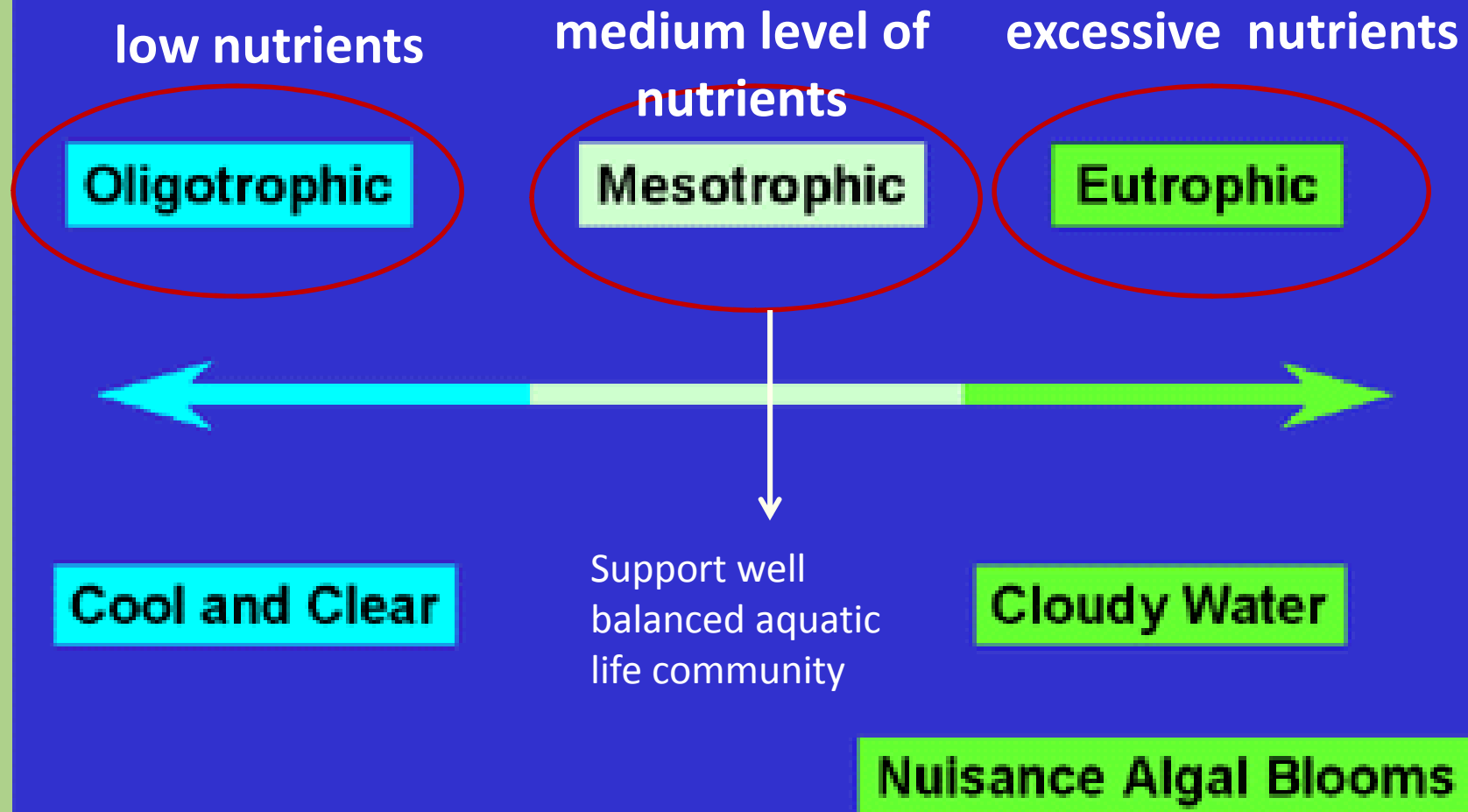


Natural aging  
process  
accelerated due to  
human activities

# Sources of Cultural Eutrophication



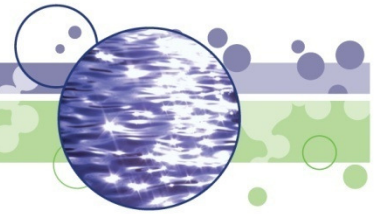
# Trophic Classification





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# What is Phosphorus?

- Natural element found in soil and in lake sediments
- Fertilizers, detergents, manure, and sewage contain concentrated phosphorus that can be carried into lakes
- Limiting nutrient in freshwaters
  - Lack of availability reduces rate of growth



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**Water**

# Phosphorous Addition and Eutrophication: An Experimental Study

Carbon,  
Nitrogen

Carbon,  
Nitrogen,  
Phosphorus

Phosphorus

From <http://sevenhillslake.com/technical.html>

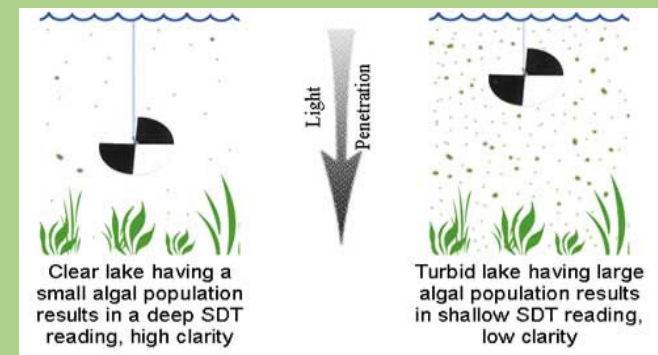


# Important Measures of Eutrophication in Lakes

- **Total Phosphorus (TP):** measure of both inorganic and organic forms of phosphorus
  - Most limiting nutrient to plant growth in fresh water
- **Chlorophyll *a* (chl *a*):** most dominant green pigment in algae and plants that allows them to photosynthesize
  - Considered to be a reasonable estimate of algal concentrations
- **Secchi Disk Transparency:** the depth to which the black and white Secchi disk can be seen in the lake water



[www.tutorvista.com/content/biology/biology-iii/kingdoms-living-world/algae.php](http://www.tutorvista.com/content/biology/biology-iii/kingdoms-living-world/algae.php)



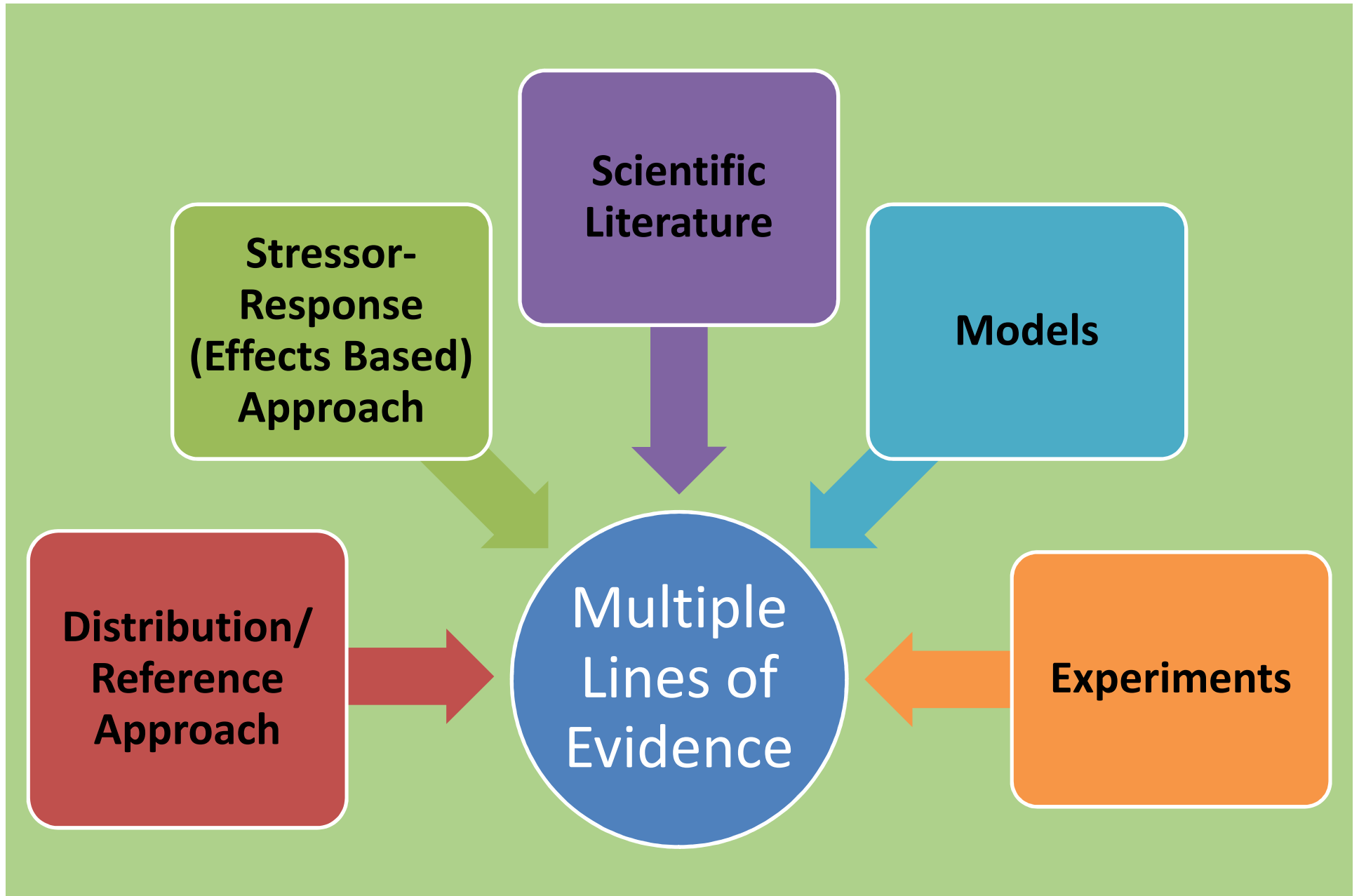
[http://www.mainevlmp.org/wp/?page\\_id=132](http://www.mainevlmp.org/wp/?page_id=132)

- Through the Clean Lakes Program (CLP, Indiana University, SPEA)
  - Public lakes and reservoirs (80/year)
  - Sampling is in July and August
    - Corresponds to thermal stratification
- Water samples collected from three feet below surface and from three feet above bottom
- One site on each lake, usually over deepest part



- Phosphorus
- Nitrogen
- Secchi Disk
- Temperature
- Light transmission
- Plankton
- Chl *a*
- Dissolved Oxygen (DO)

# Approaches for Developing Nutrient Criteria



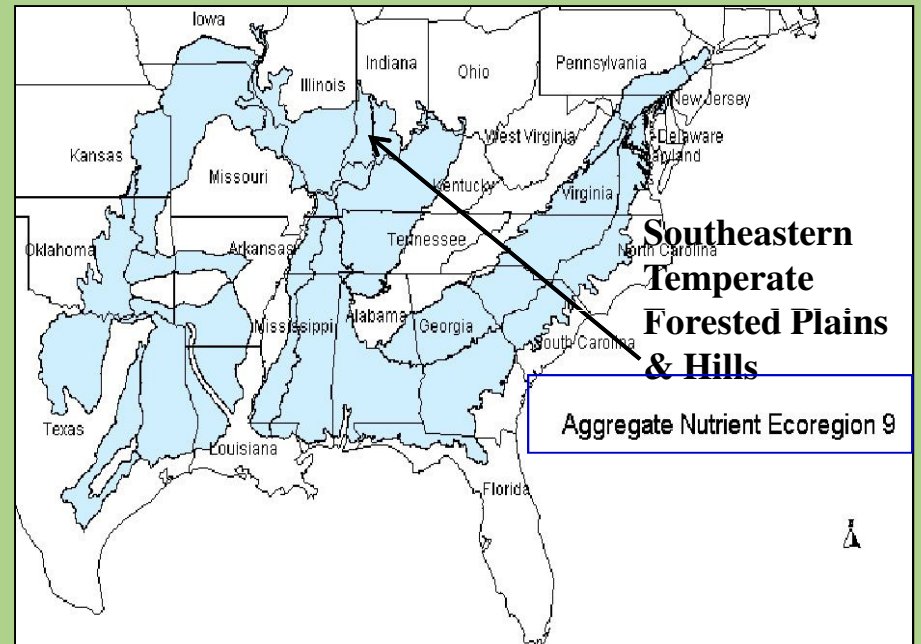
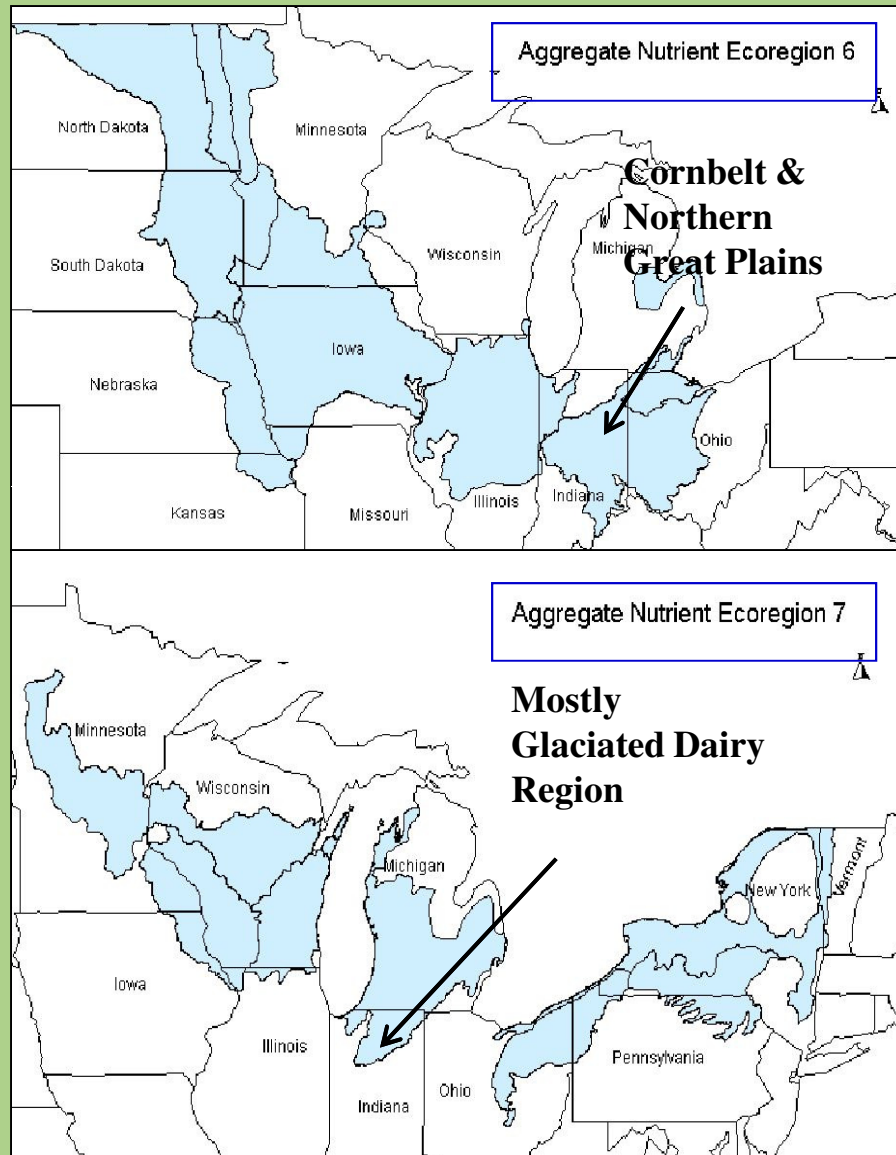
- Reflects ecoregional differences
- Is specific for waterbody type:
  - Rivers & Streams
  - Lakes & Reservoirs
  - Estuaries & Coastal
  - Wetlands
- Includes:
  - Total Nitrogen
  - Chlorophyll *a*
  - Total Phosphorus
  - Turbidity
  - (causal variables)**
  - (early response variables)**

## Draft Aggregations of Level III Ecoregions for the National Nutrient Strategy



- I. Willamette and Central Valleys
- II. Western Forested Mountains
- III. Xeric West
- IV. Great Plains Grass and Shrublands
- V. South Central Cultivated Great Plains
- VI. Corn Belt and Northern Great Plains
- VII. Mostly Glaciated Dairy Region
- VIII. Nutrient Poor Largely GLaciated Upper Midwest and Northeast
- IX. Southeastern Temperate Forested Plains and Hills
- X. Texas-Louisiana Coastal and Mississippi Alluvial Plains
- XI. Central and Eastern Forested Uplands
- XII. Southern Coastal Plain
- XIII. Southern Florida Coastal Plain
- XIV. Eastern Coastal Plain

# Indiana is Composed of Aggregate Nutrient Ecoregions VI, VII, IX

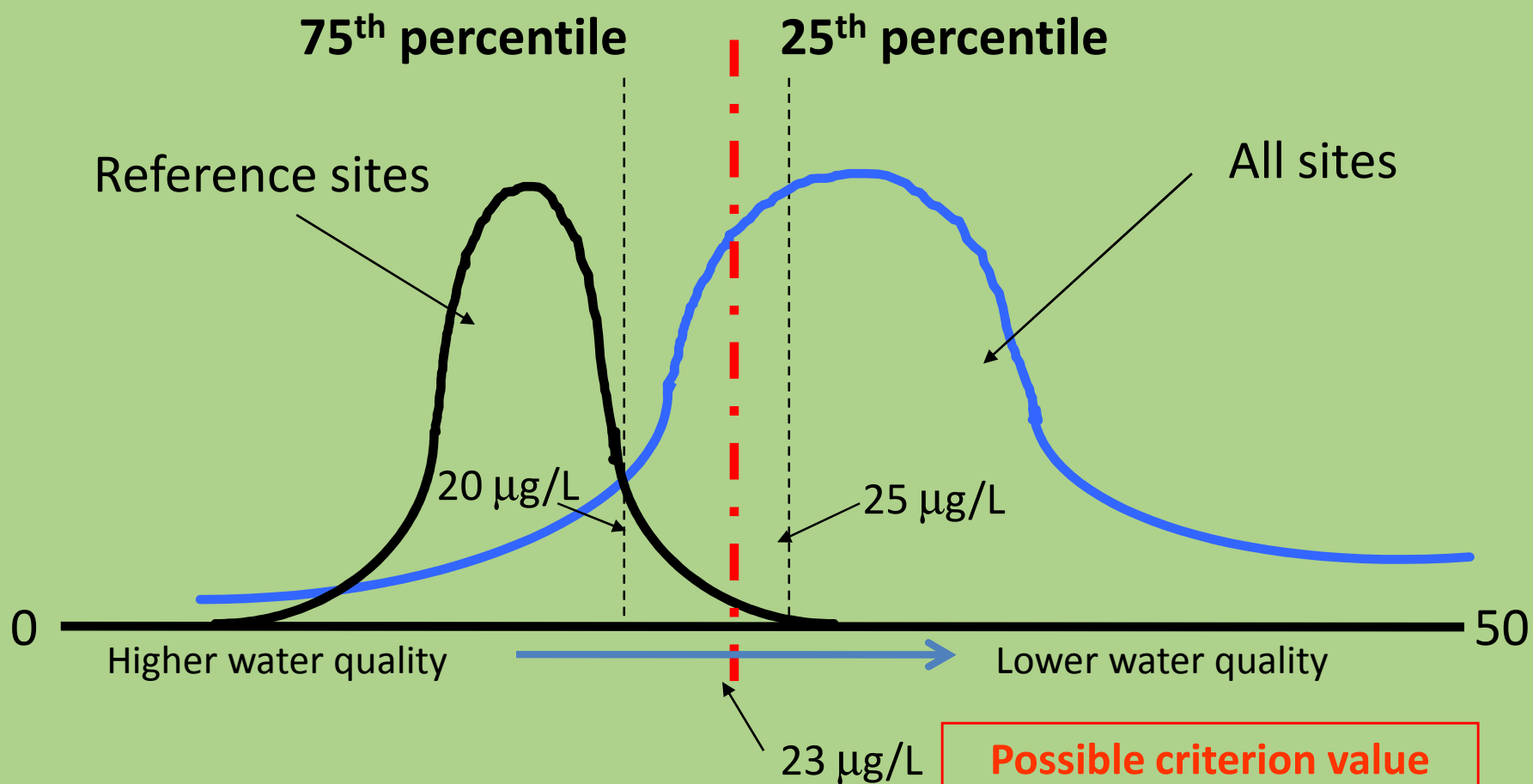




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## Distribution/Reference Approach (USEPA Approach)



# EPA's Recommended Criteria for Aggregate Ecoregions in Indiana

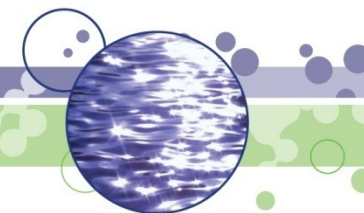
Aggregate Ecoregion	Level III Ecoregions	TP (µg/L)	Chl <i>a</i> (µg/L)	Secchi depth (m)
VI	54, 55, 57	38	9	1.3
VII	56	15	3	3.3
IX	71, 72	20	5	1.5





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# Scientific Literature

- Established thresholds
- Known effects levels

Comparing effects of nutrients on algal biomass in streams in two regions with different disturbance regimes and with applications for developing nutrient criteria

R. Jan Stevenson<sup>1,\*</sup>, Steven T. Rier<sup>2</sup>, Catherine M. Riseng<sup>3</sup>, Richard E. Schultz<sup>4</sup>  
& Michael J. Wiley<sup>3</sup>

<sup>1</sup>Department of Zoology, Michigan State University, East Lansing, MI, 48824, USA

<sup>2</sup>Department of Biological & Allied Health Sciences, Bloomsburg University, Bloomsburg, PA, 17815, USA

<sup>3</sup>School of Natural Resources and the Environment, The University of Michigan, Ann Arbor, MI, 48109, USA

<sup>4</sup>Department of Biological Sciences, University of Louisville, Louisville, KY, 40292, USA

(\*Author for correspondence: E-mail: rjstev@msu.edu)

## DEVELOPING NUTRIENT TARGETS TO CONTROL BENTHIC CHLOROPHYLL LEVELS IN STREAMS: A CASE STUDY OF THE CLARK FORK RIVER

W. K. DODDS<sup>1\*</sup>, V. H. SMITH<sup>2</sup> and B. ZANDER<sup>3</sup>

<sup>1</sup>Division of Biology, Kansas State University, Manhattan, KS 66506, U.S.A., <sup>2</sup>Environmental Studies Program and Department of Systematics and Ecology, University of Kansas, Lawrence, KS 66045, U.S.A., <sup>3</sup>United States Environmental Protection Agency Region 8, Suite 500, 999 18th St. Denver, CO 80202, U.S.A.

## SUGGESTED CLASSIFICATION OF STREAM TROPHIC STATE: DISTRIBUTIONS OF TEMPERATE STREAM TYPES BY CHLOROPHYLL, TOTAL NITROGEN, AND PHOSPHORUS

WALTER K. DODDS<sup>1\*</sup>, JOHN R. JONES<sup>2</sup> and EUGENE B. WELCH<sup>3</sup>

<sup>1</sup>Division of Biology, Kansas State University, Manhattan, KS 66506, U.S.A., <sup>2</sup>School of Natural Resources, 112 Stephens Hall, University of Missouri, Columbia, MO 65211, U.S.A. and <sup>3</sup>Department of Civil Engineering, P.O. Box 352700, University of Washington, Seattle, WA 98195, U.S.A.

*Limnol. Oceanogr.*, 53(2), 2008, 773-787  
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A framework for developing ecosystem-specific nutrient criteria: Integrating biological thresholds with predictive modeling

Patricia A. Soranno<sup>1</sup>

Department of Fisheries and Wildlife, Michigan State University, East Lansing, Michigan 48824

Kendra Spence Cheruvilil,<sup>2</sup> R. Jan Stevenson, and Scott L. Rollins<sup>3</sup>

Department of Zoology, Michigan State University, East Lansing, Michigan 48824

Sarah W. Holden and Sylvia Heaton

Water Bureau, Michigan Department of Environmental Quality, Lansing, Michigan 48909

Eric Tornig

Department of Computer Science and Engineering, Michigan State University, East Lansing, Michigan 48824





# Models

- Link changes in nutrient concentrations to impacts on water quality

## Stream Water Quality Model (QUAL2K)



QUAL2K (or Q2K) is a river and stream water quality model that is intended to represent a modernized version of the QUAL2E (or Q2E) model (Brown and Barnwell 1987).

- Sediment-water dissolved oxygen rather than being nutrient fluxes and particulate organic and the concentration waters.
- Bottom algae, bottom algae.

### Regional interpretation of water-quality monitoring data

Richard A. Smith, Gregory E. Schwarz, and Richard B. Alexander  
U.S. Geological Survey, Reston, Virginia

**Abstract.** We describe a method for using spatially referenced regressions of contaminant transport on watershed attributes (SPARROW) in regional water-quality assessment. The method is designed to reduce the problems of data interpretation caused by sparse sampling, network bias, and basin heterogeneity. The regression equation relates measured transport rates in streams to spatially referenced descriptors of pollution sources and land-surface and stream-channel characteristics. Regression models of total phosphorus (TP) and total nitrogen (TN) transport are constructed for a region defined as



# Controlled Experiments

## Examples:

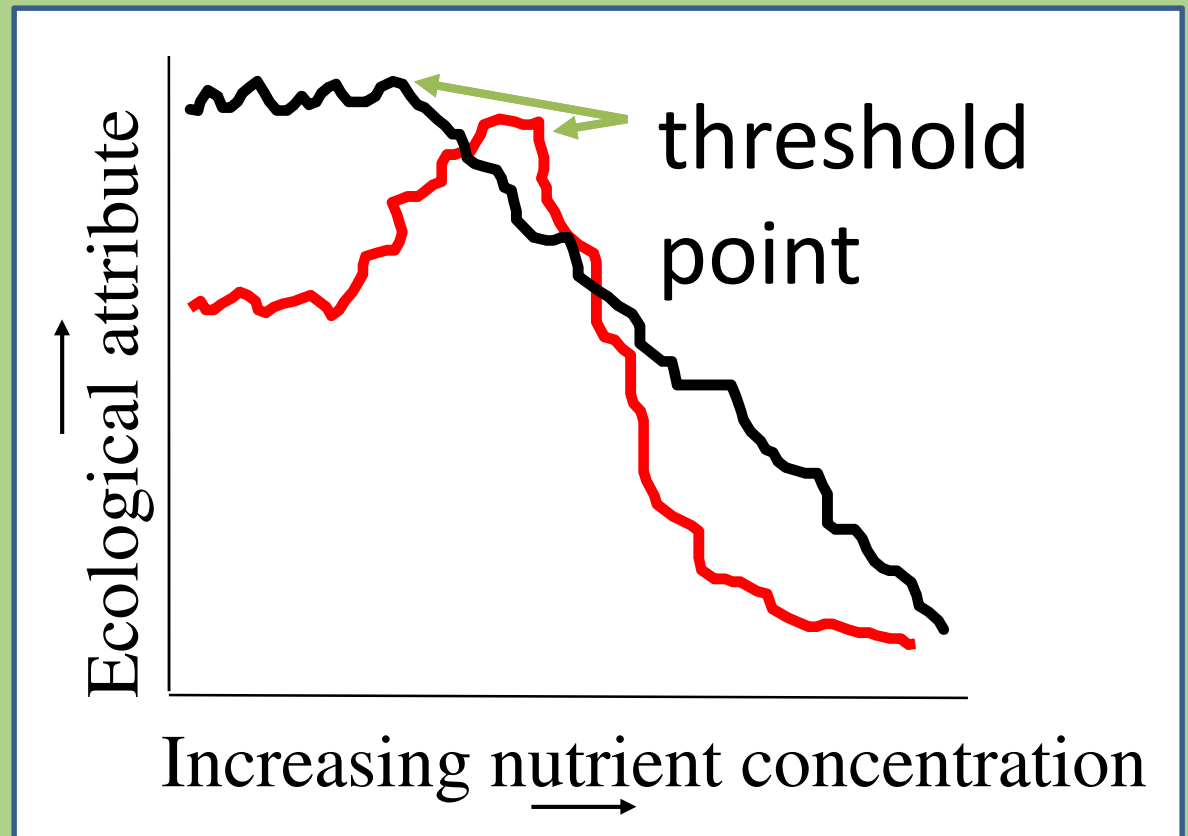
- Estimating the effects of excess nutrients on stream invertebrates from observational data
- Long-term nutrient enrichment decouples predator and prey production
- Periphyton removal related to phosphorus and grazer biomass level



**Reasonably  
consistent  
responses of  
biotic  
communities to  
nutrients**

# Stressor-Response Approach

- Estimating relationship between nutrient concentrations and biological response measures



# A Five Step Process for Using Stressor-Response Relationships to Derive Nutrient Criteria

## Step 1 - Selecting and Evaluating Data

### Selecting Stressor and Response Variables

Stressors – Measure Nutrient Enrichment

Responses – Linked to protection of designated uses and respond to nutrients

## Step 2 – Assessing strength of cause-effect relationship

### Assess Cause-Effect Relationship

Use/develop conceptual models

Consult existing literature

Use modeling

## Step 3 – Analyzing Data

### Determine if thresholds exist

## Step 4 – Evaluating response relationship

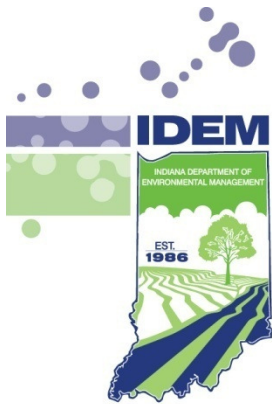
### Evaluate Candidate Criteria

Evaluate effectiveness of candidate criteria

Weigh uncertainty

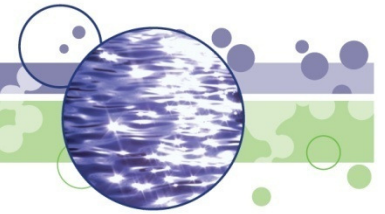
## Step 5 – Evaluating candidate criteria

Modified from Empirical Approaches for Nutrient Criteria Derivation, USEPA



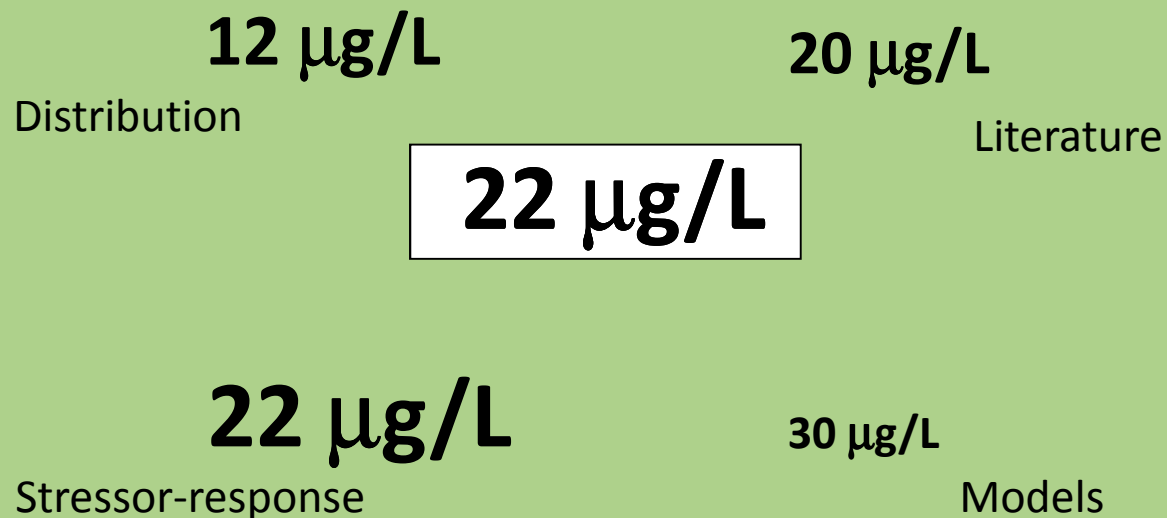
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## Multiple Lines of Evidence

- Generate candidate endpoints
- Can be weighted qualitatively using best professional judgment
- Final criterion is a result of multiple lines



- 1989 -2005 data
  - IDEM's AIMS database (Clean Lakes Program)
  - EPA's nutrient criteria database (STORET)
  - EPA's Natural Eutrophication Study
  - Corps of Engineers' reservoir monitoring program
- Two reasons for using data from these years
  - Phosphate ban and lake discharge law resulted in significant improvement in lakes' water quality from 1970s to 1980s
  - Improvement in trophic scores between the 1970s and 1989

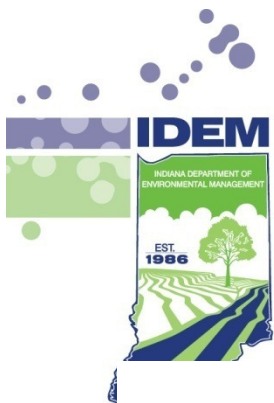
- Limno-Tech, Inc.
  - Compilation, QA/QC, and data reduction
- Spatial Data - 3,629 different lentic waterbodies
  - Delineations, land cover (buffer) and watershed area
  - Geology
  - Geomorphology: max and mean depth, area
- Water Quality Data
  - TP, chl *a*, SD, cyanobacteria cell counts, surface and bottom temp, % oxic
  - Summer medians calculated and medians across years then used in data analysis
- “Complete” data for ~520 lentic waters



# Number of Indiana Lakes with Spatial or Summer Water Quality Data by Ecoregion

Ecoregion	Any Data		Total Phosphorus and Chlorophyll a		Total Phosphorus and Secchi Depth	
	Number	Percent	Number	Percent	Number	Percent
<i>VI: Corn Belt and Northern Great Plains</i>						
54: Central Corn Belt Plains	<b>538</b>	15%	<b>22</b>	5%	<b>21</b>	4%
55: Eastern Corn Belt Plains	<b>412</b>	11%	<b>44</b>	9%	<b>45</b>	9%
<i>VII: Mostly Glaciated Dairy Region</i>						
56: Southern MI / Northern IN Till Plain	<b>2,026</b>	56%	<b>278</b>	57%	314	60%
57: Huron/Erie Lake Plain	<b>0</b>	0%				
<i>XI: Southeastern Temperate Forested Plains and Hills</i>						
71: Interior Plateau	<b>282</b>	8%	<b>38</b>	8%	<b>38</b>	7%
72: Interior River Lowland	<b>371</b>	10%	<b>104</b>	21%	<b>104</b>	20%
<b>Total</b>	<b>3,629</b>	<b>100%</b>	<b>486</b>	<b>100%</b>	<b>522</b>	<b>100%</b>

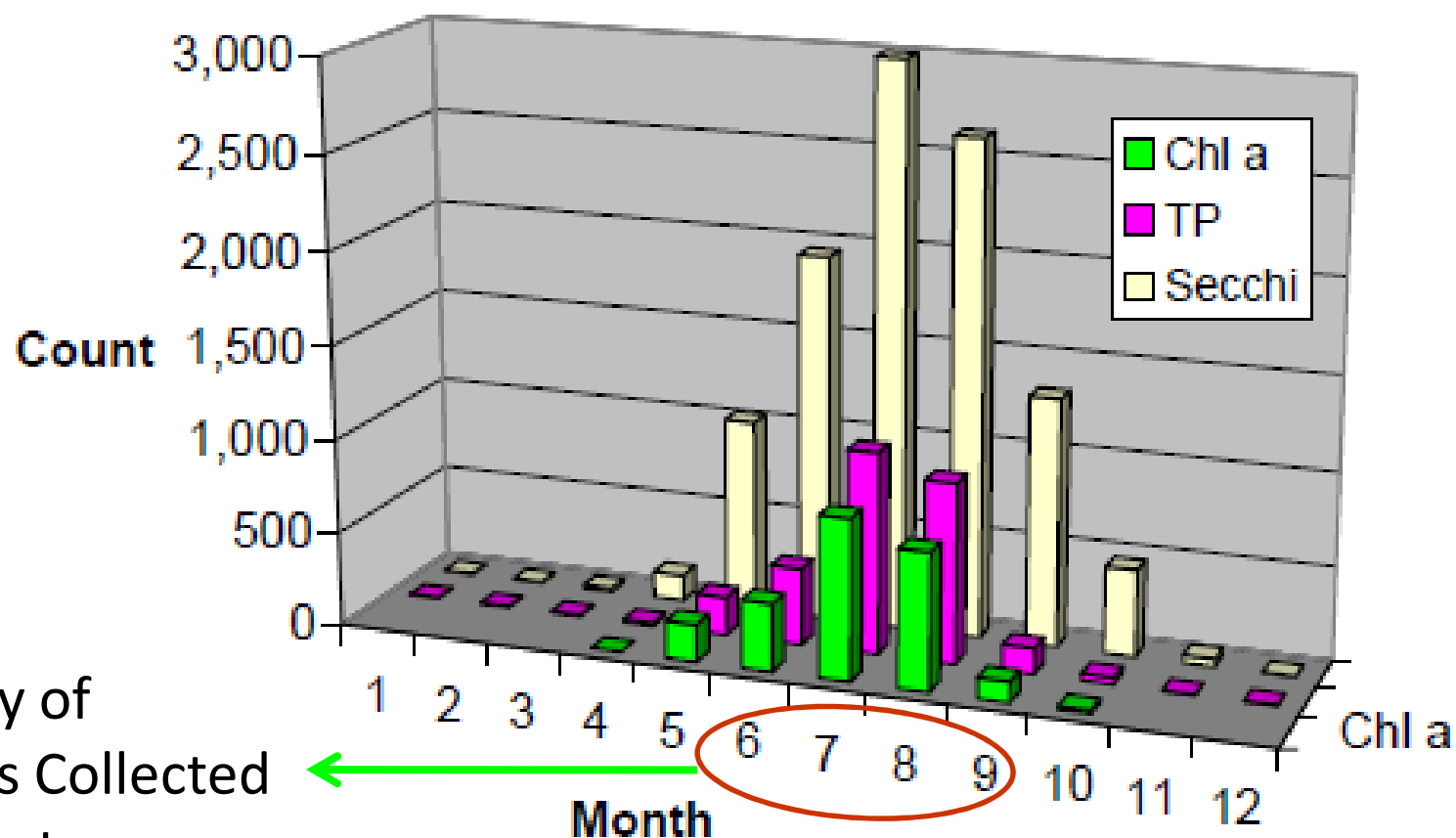




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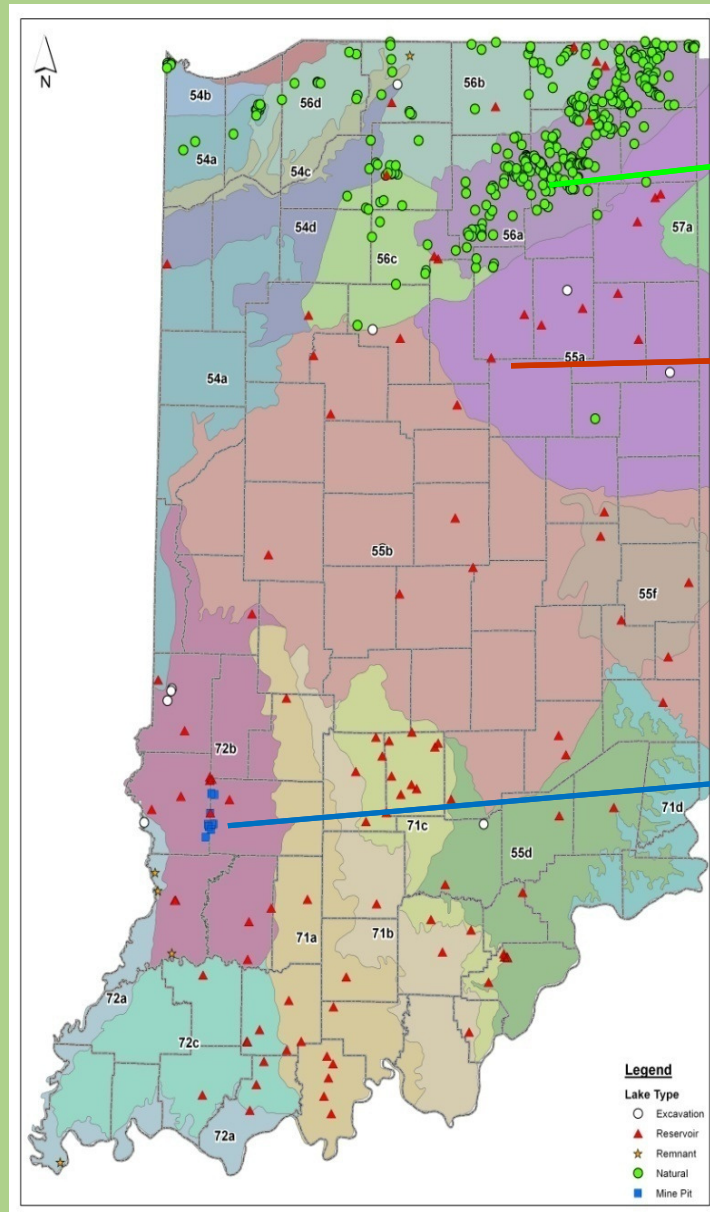
## Water

# Distribution of Samples by Month



Majority of  
Samples Collected  
June-Sept.

# Indiana Lakes Selected for Analysis



Natural Lakes (320)

Reservoirs (110)

Mine Pits (70)

Others (20)



# Data Analysis

Should/could  
the lakes be  
further  
classified?

Frequency  
Distribution  
Analysis

Examination of  
Stressor-  
Response  
relationship



# Classification of Lakes

- Preliminary classification investigation
  - Multivariate analysis and multiple regression
- Principal Component Analysis (PCA)
  - Data clustering technique
  - Used to explore differences among lakes by type and ecoregion
  - Resulted in lakes being classified into 3 types (natural, reservoirs, mine pits)
- These analyses suggested sufficient differences among lake types to warrant different criteria
  - Less variability in factors when lake types clustered together

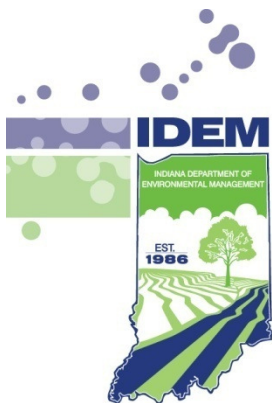
# US EPA's Recommended Values Compared to Values Generated From Indiana Ecoregional Data Using Frequency Distribution Approach

Ecoregion	TP ( $\mu\text{g/L}$ )	Chl <i>a</i> ( $\mu\text{g/L}$ )	SD (m)
VI – IN	33	2	2
VI – EPA	38	9	1.3
VII – IN	23	2	2.7
VII – EPA	15	3	3.3
IX - IN	18	1	3.9
IX - EPA	20	5	1.5

# Results of Frequency Distribution Analysis

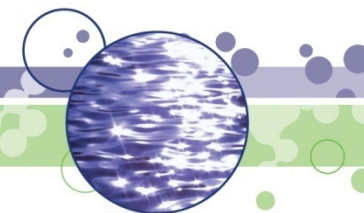
	Mean	Std Dev	25 <sup>th</sup>	75 <sup>th</sup>	N
<b>All Lakes</b>					
TP (µg/L)	52.8	64.5	22.0	58.0	524
Chl <i>a</i> (µg/L)	18.2	29.9	1.7	17.7	524
Secchi depth(m)	2.5	6.3	1.1	2.9	524
<b>Natural Lakes</b>					
TP(µg/L)	45.6	39.1	23.0	54.0	321
Chl <i>a</i> (µg/L)	21.2	32.2	2.4	19.6	321
Secchi depth (m)	2.6	7.9	1.2	2.7	321
<b>Natural Lakes - Reference Only</b>					
TP (µg/L)	45.2	36.3	22.5	55.0	58
Chl <i>a</i> (µg/L)	9.0	12.6	1.8	13.4	51
Secchi depth (m)	2.1	1.0	1.4	2.9	58
<b>Reservoirs</b>					
TP (µg/L)	80.5	102.5	28.0	94.0	113
Chl <i>a</i> (µg/L)	18.4	28.0	1.7	26.7	113
Secchi depth (m)	1.7	1.5	0.7	2.5	113
<b>Mine Pits</b>					
TP (µg/L)	25.9	26.0	12.0	29.0	73
Chl <i>a</i> (µg/L)	2.4	3.7	0.6	1.9	73
Secchi depth (m)	3.5	1.9	1.7	4.5	73
<b>Other</b>					
TP (µg/L)	120.4	119.6	30.5	217.0	17
Chl <i>a</i> (µg/L)	27.6	38.9	4.2	36.3	17
Secchi depth (m)	1.3	1.1	0.3	2.0	17

Tetra Tech, Inc.



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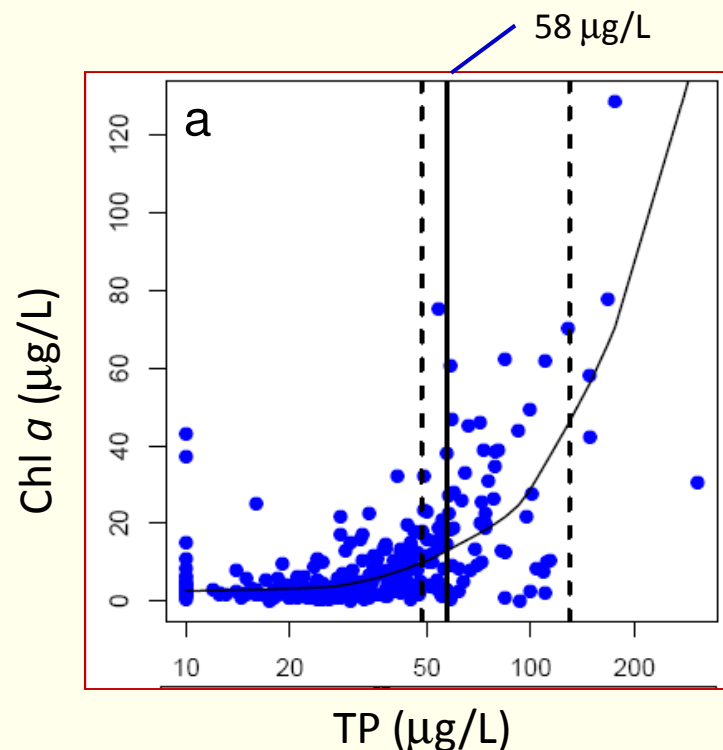
Water



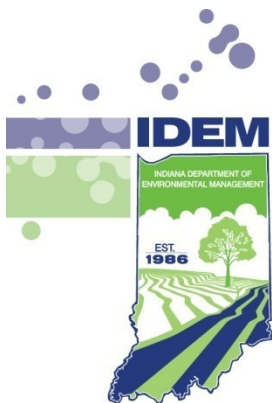
# Stressor-Response Analysis

- Change-point
  - Method for identifying thresholds in relationships between two variables
  - Identifies principal change point in relationship between 2 variables
  - Example question: is there a threshold in the response of chl *a* to gradients in total phosphorus?

Summer Median Chl *a* vs. TP in Natural Lakes

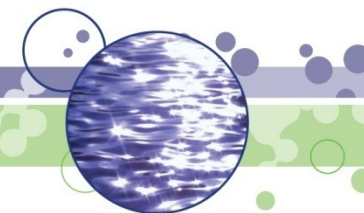






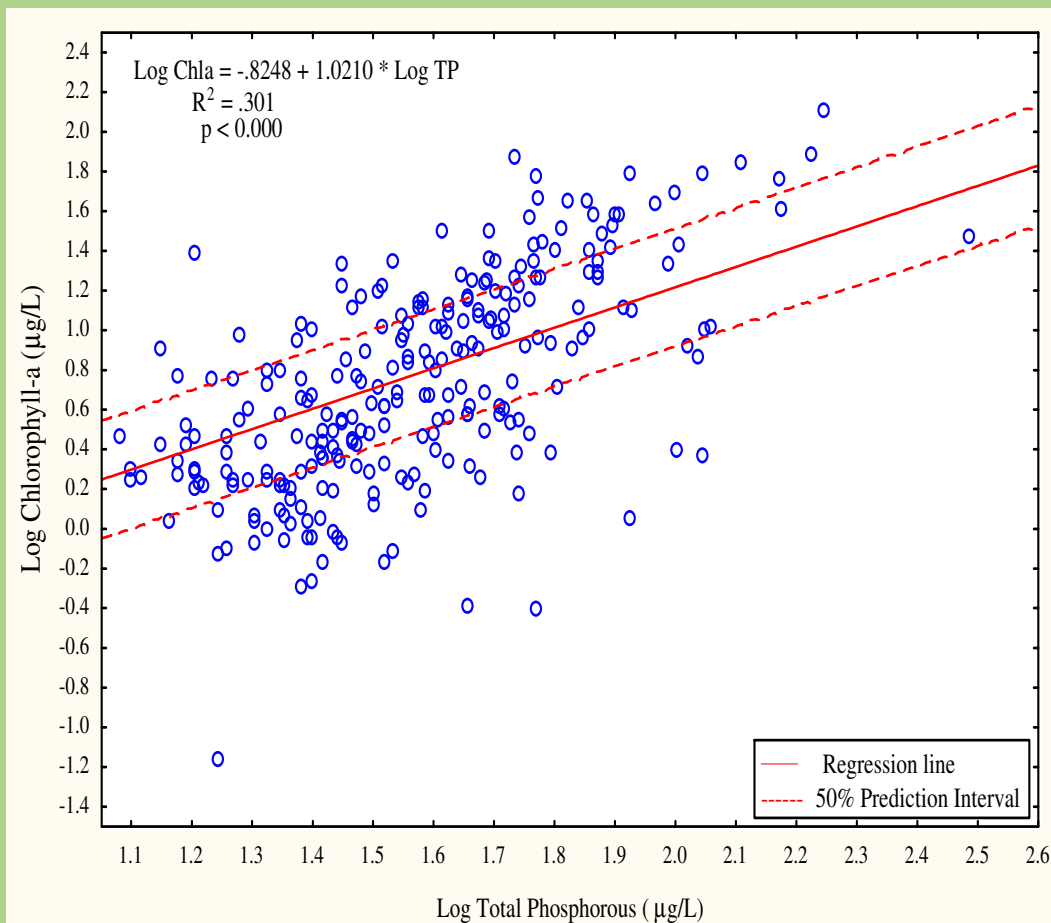
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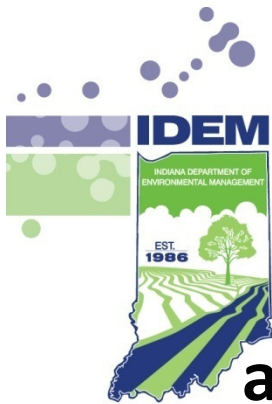


# Stressor-Response Analysis

- Regression
  - Technique that treats one variable as a function of another
  - Example question: can total phosphorus concentrations be used to determine the chl *a* content in a lake?







## Trophic State Boundaries for Chlorophyll and Secchi Depth used in Regression Analyses

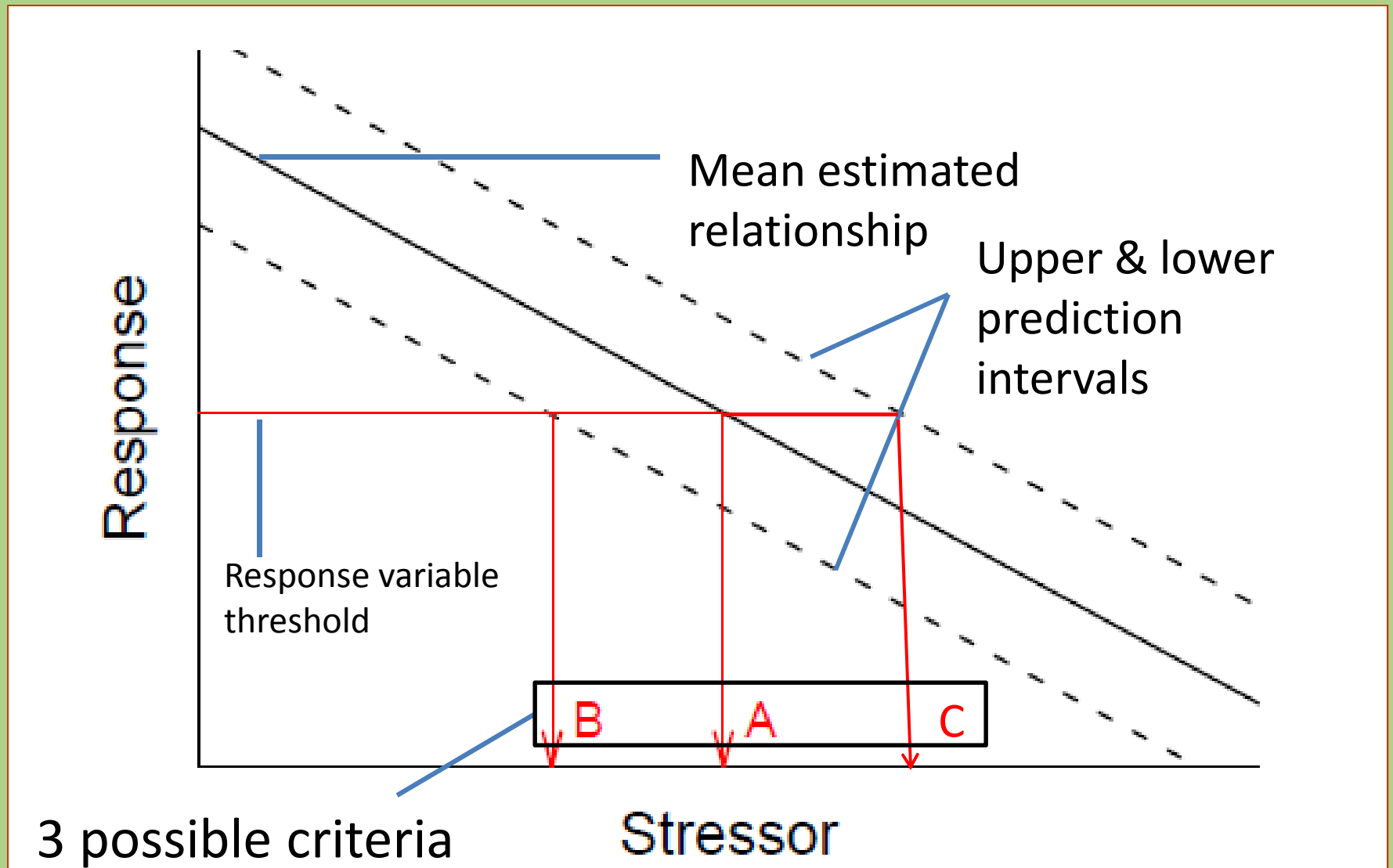
- Expected trophic status of lakes used to derive proposed chl *a* concentrations protective of:
  - Natural balanced populations of aquatic communities, and
  - Recreational uses

Trophic State	Chlorophyll ( $\mu\text{g/L}$ )	Secchi Depth (m)
Oligotrophic	<2.5	>6
Mesotrophic	2.5-8.0	3-6
Eutrophic	8.0-25	3-1.5

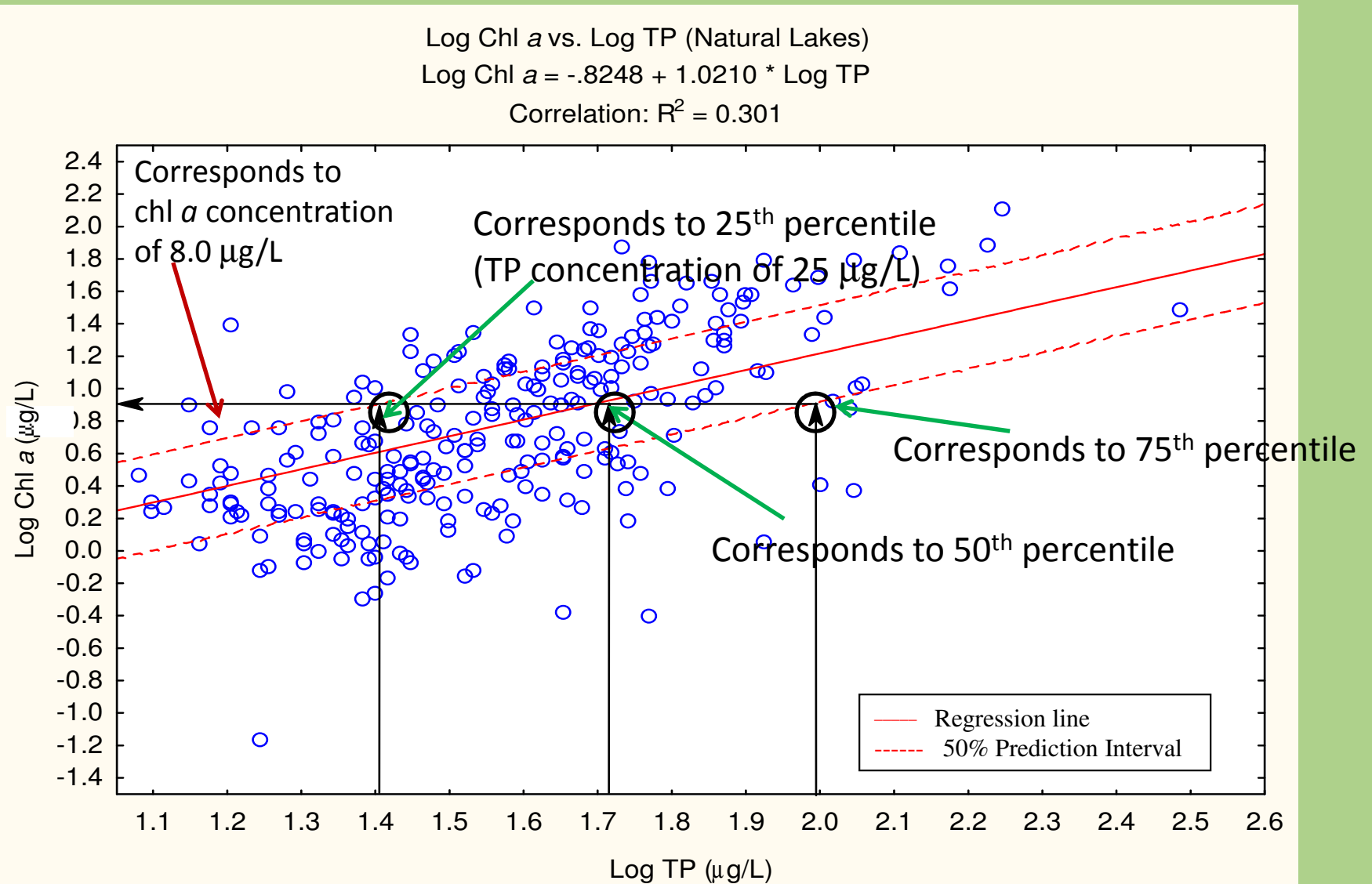
Source: Organization for Economic Cooperation and Development (OECD). 1982. Eutrophication of Waters. Monitoring Assessment and Control. Final Report. OECD Cooperative Programme on Monitoring of Inland Waters (Eutrophication Control). OECD, Paris.

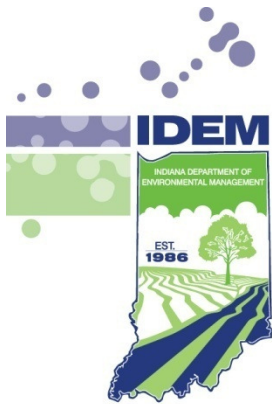
- Based on stressor-response approach
- Summer (June-Sept.) concentrations of chl *a* and TP measurements are the primary indicators of balance of flora and fauna

# Simple Linear Regression



# Translating Response Threshold to Candidate Criterion: Natural Lakes





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## What Does This Mean?

- Different prediction intervals can be used to define criteria with different degrees of “protectiveness.”
- Example: if proposed criterion of  $25 \mu\text{g/L}$  is based on 25<sup>th</sup> percentile prediction interval, there is less than 25% chance of exceeding chl *a* of  $8 \mu\text{g/L}$ .

# Summary of Results from All Analyses

	TP (µg/L)	Chl <i>a</i> (µg/L)
Distribution Based		
Natural	23	2.4
Reservoir	28	1.7
Stressor-Response (Change Point)		
Natural	47	
Reservoir	56	

# Summary of Results

<b>Stressor-Response (Regression)</b>	<b>Chl <i>a</i> (µg/L)</b>	<b>TP (µg/L) 25<sup>th</sup> percentile</b>	<b>TP (µg/L) 50<sup>th</sup> percentile</b>	<b>TP (µg/L) 75<sup>th</sup> percentile</b>	<b>Correlation</b>
<b>Natural</b> (n = 284)	8	25	49	98	$R^2 = 0.301$
<b>Reservoir</b> (n = 112)	8	35	69	126	$R^2 = 0.437$



# Supporting Lines of Evidence for Proposed TP Criterion

**23 µg/L**

Distribution

**31 µg/L**

Conditional probability

**25 µg/L**

Regression analysis

**20 µg/L**

Unpublished scientific data

**47 µg/L**

Change point

**Natural Lakes**

# Supporting Lines of Evidence for Proposed TP Criterion

**28 µg/L**

Distribution

**43 µg/L**

Conditional probability

**35 µg/L**

Regression analysis

**20 µg/L**

Unpublished scientific data

**56 µg/L**

Change point

**Reservoirs**

# Proposed Criteria

A	B	C
Lake Type	Chl <i>a</i> (µg/L)*	TP (µg/L)*
Natural	8	25 (25-98)
Reservoir	8	35 (35-126)

\* Concentration values are based on an arithmetic mean during the period June-September.

\* Expressed as an annual mean not to be surpassed once every three years.



We Protect Hoosiers and Our Environment

Water

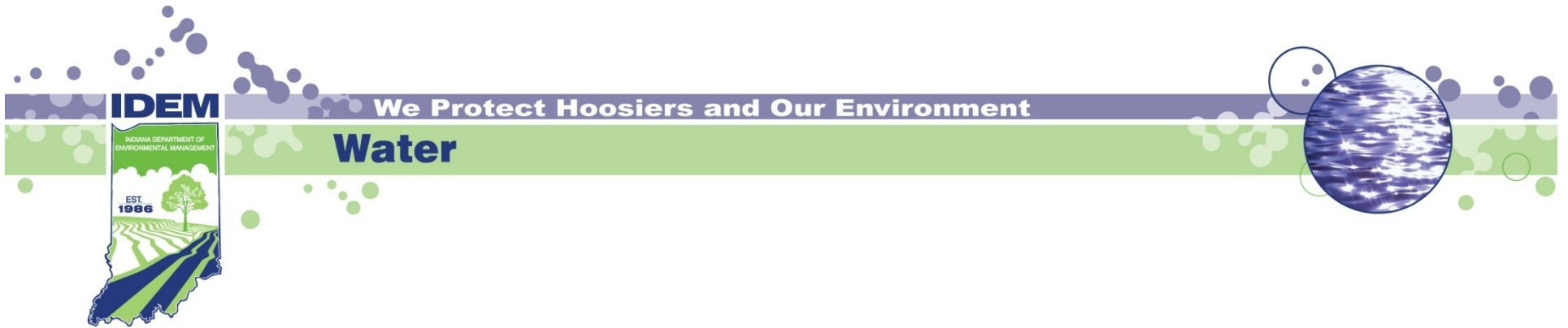


# Modified Criteria

- Baseline criteria for TP apply unless IDEM establishes “modified criteria”
  - To be eligible, must meet chl *a* magnitude for at least the 3 immediately preceding years, and must meet data requirements
    - Sufficient ambient monitoring data for chl *a* and TP for at least the three immediately preceding years
    - At least four measurements/year for each parameter with one sample each in the months of June-September
  - Must be within range shown in brackets (column C)

## Lakes Eutrophication Criteria for Other EPA Region V States

State	Criteria	Adoption	Approach
IL	TP- 0.50 µg/L	1979	EPA's Red Book
MI	TP (modeled criterion)	TBD	Predictive Modeling & Lowess Curves
OH	TP: 14-38 µg/L TN: 450-1225 µg/L Chl <i>a</i> : 6-14 µg/L Secchi depth: 1.19-2.6 m	2012	Frequency Distribution Approach
WI	TP: 15-40 µg/L	2010	Limiting nuisance algae
MN	TP: 12-90 µg/L Chl <i>a</i> : 3-30 µg/L Secchi depth: 0.7- 4.8 m	2008	Frequency Distribution Approach



# Questions?

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